

# Financial Adviser Profile

## Overview

Chen Hao Li is an emerging financial adviser with a commitment to understand each client's specific needs and aspirations. Chen Hao can work with all clients at various stages of life and can offer advice in investments, investments, superannuation, retirement planning and social security. Chen Hao takes a client-focused approach and aims to build lasting relationships by providing tailored, transparent and trustworthy financial solutions, designed to help clients achieve their goals and objectives.

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## Qualifications

Chen Hao holds a Master of Financial Analysis (Financial Planning) and meets the competency requirements under ASICs Regulatory Guide RG 146.

## Authorisations

Chen Hao Li is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products and Life Risk Insurance Products
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services
- Deposit and Payment Products
- Retirement Savings Accounts ("RSA") products
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government
- Superannuation
- Securities

### Restrictions – Securities Only

This authorised representative is only authorised to give class of product advice in relation to Securities.



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## Abacus Wealth Management Advice Fees and Charges

Chen Hao Li will be paid by Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you before work is commenced.

Chen Hao's fee for the preparation of a Statement of Advice and other Advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

Chen Hao provides the option of ongoing reporting and advisory services. You will be notified of the cost involved prior to the commencement of any ongoing services.

Abacus Wealth Management pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Chen Hao is a salaried employee of Abacus Wealth Management and will receive a salary from this company.

## Other Benefits Chen Hao May Receive

From time to time Chen Hao may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than \$300. A register listing the details of any non-cash benefits between \$100 and \$300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.

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