Financial Adviser Profile

Overview

Simon has been providing clients with trusted, strategic financial advice for over 15 years. He has a considered and thoughtful approach, implementing sensible strategies to assist his clients reach their financial and lifestyle goals.

Clients who work with Simon are looked after along every step of their financial journey due to his caring and empathetic nature. Simon clearly explains strategies, giving his clients the peace of mind that they are on the right track to meet their goals and enjoy a comfortable and financially secure retirement.

Simon has worked with people from various walks of life, including those:

- Starting to focus on their retirement goals;
- Having had a successful career and looking to optimise future opportunities;
- Retired and looking for financial security;
- Younger families looking to protect loved ones from life's unexpected events.

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Qualifications

Simon holds a Bachelor of Economics (with Honours) from Monash University, has completed a Graduate Diploma of Applied Finance and Investment, is a Certified Financial Planner and meets the competency requirements under ASIC's Regulatory Guide RG 146.

Professional Memberships

Simon is a member of the Financial Planning Association and abides by their code of professional conduct and ethics.

Authorisations

Simon is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products & Life Risk Insurance Products;
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services;
- Deposit & Payment Products;
- Retirement Savings Accounts ("RSA") products;
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government;
- Superannuation;
- Self Managed Superannuation Funds; and
- Securities.

Simon Rohead

Abacus Wealth Management

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Financial Adviser Profile

Abacus Wealth Management Advice Fees and Charges

Simon Rohead will be paid by Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you before work is commenced.

Simon's fee for the preparation of a Statement of Advice and other Advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

Simon provides the option of ongoing reporting and advisory services. You will be notified of the cost involved prior to the commencement of any ongoing services.

Abacus Wealth Management pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Simon is a Director of Abacus Wealth Management and will receive a salary from this company.

Other Benefits Simon May Receive

From time to time Simon may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than $300. A register listing the details of any non-cash benefits between $100 and $300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.