

Financial Adviser Profile

Overview

Chen Hao is an emerging financial adviser with a commitment to understand each client's specific needs and aspirations. Chen Hao can work with all clients at various stages of life and can offer advice in investments, superannuation, retirement planning and social security. Chen Hao takes a client-focused approach and aims to build lasting relationships by providing tailored, transparent and trustworthy financial solutions, designed to help clients achieve their goals and objectives.

Abacus Wealth Management Pty Ltd is a Corporate Authorised Representative (No. 1282820) of Capstone Financial Planning Pty Ltd. ABN 24 093 733 969. Australian Financial Services License No. 223135. Authorised Representative No. 1310719.

Qualifications

Chen Hao Li holds a Master of Financial Analysis (Financial Planning) and meets the competency requirements under ASICs Regulatory Guide RG 146.

Authorisations

Chen Hao Li is authorised as a Provisional Adviser and is able to provide advice and deal (under the supervision of a senior Capstone Financial Adviser) in the following financial products:

- Life Products including Investment Life Insurance Products and Life Risk Insurance Products
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services
- Deposit and Payment Products
- Retirement Savings Accounts ("RSA") products
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government
- Superannuation
- Securities

Restrictions – Securities Only

This authorised representative is only authorised to give class of product advice in relation to Securities.

Chen Hao Li is currently undertaking a Professional Year of work and training in accordance with subsection 921B(4) of the Corporations Act 2001. Their Supervisor during this period is Simon Rohead (Authorised Representative No.264459) of Abacus Wealth Management Pty Ltd.

Simon Rohead will be responsible for any advice provided by Chen Hao Li during that time.

Chen Hao Li is authorised to provide financial advice, conduct client meetings and prepare advice documents only under the supervision of a senior Capstone Financial Adviser.



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Abacus Wealth Management Advice Fees and Charges

Chen Hao Li will be paid by Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you before work is commenced.

Chen Hao's fee for the preparation of a Statement of Advice and other Advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

Chen Hao provides the option of ongoing reporting and advisory services. You will be notified of the cost involved prior to the commencement of any ongoing services.

Abacus Wealth Management pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Chen Hao is a salaried employee of Abacus Wealth Management and will receive a salary from this company.

Other Benefits Chen Hao May Receive

From time to time Chen Hao may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than \$300. A register listing the details of any non-cash benefits between \$100 and \$300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.

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